

16076
111403
U.S.PTO

Practitioner's Docket No. 006378.00002

PATENT

Preliminary Classification:

Proposed Class:

Subclass:

17510 U.S.PTO
10/713290
111403

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Moshe Shloush; James W. DuLaney

For (title): ELECTRONIC BALLAST WITH PROGRAMMABLE PROCESSOR

1. Type of Application

This application is for a continuation-in-part (C-I-P).

2. Benefit of Prior U.S. Application (35 U.S.C. §§ 119(e), 120, or 121)

The new application being transmitted claims the benefit of prior U.S. application. Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION CLAIMED.

{352453;}

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3. Papers Enclosed

A. Required for filing date under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design)
Application

20 Page(s) of Specification
4 Page(s) of Claims
7 Sheet(s) of Drawing(s)--Formal

B. Other Papers Enclosed

1 Page(s) of abstract

4. Additional Papers Enclosed

Information Disclosure Statement (37 C.F.R. § 1.98)
Form PTO-1449 (PTO/SB/08A and 08B)
Application Data Sheet

5. Declaration or Oath

Not Enclosed.

Application is made by a person authorized under 37 C.F.R. § 1.41(c) on behalf of all of the
above-named inventors.

6. Language

English

7. Assignment

An assignment of the invention to Aurora Lighting, Inc. will follow.

8. Fee Calculation (37 C.F.R. § 1.16)

Regular Application

CLAIMS AS FILED

Number Filed	Number Extra	Rate	Basic Fee 37 C.F.R. § 1.16(a) \$770.00
Total			
Claims (37 C.F.R. § 1.16(c))	28	- 20 = 8 x \$ 18.00	= \$ 144.00
Independent			
Claims (37 C.F.R. § 1.16(b))	3	- 3 = 0 x \$ 86.00	= \$ 0.00
Multiple Dependent Claim(s), if any (37 C.F.R. § 1.16(d))			
NV 37 CFR \$1;16;d;2			

Filing Fee Calculation \$914.00

9. Assertion of Small Entity Status

Applicant hereby asserts status as a small entity under 37 C.F.R. § 1.27.

Status as a small entity was asserted in the prior application 10/145420 filed on May 14, 2002 from which benefit is being claimed for this application under 35 U.S.C. § 120 and which status as a small entity is still proper and asserted for this application.

Filing Fee Calculation (50% of above Filing Fee Calculation) \$457.00

10. Fee Payment Being Made at This Time

Enclosed

Filing Fee \$457.00

Total Fees Enclosed \$457.00

11. Method of Payment of Fees

Attached is a check in the amount of \$457.00.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

12. Authorization to Charge Additional Fees

The Office is hereby authorized to charge, in the manner shown above, the following additional fees that may be required by this paper and during the entire pendency of this application.

37 C.F.R. § 1.16(a), (f) or (g) (filing fees)

37 C.F.R. § 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. § 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a))

13. Instructions as to Overpayment

Credit Account No. 50-1971.

**ADDED PAGES FOR APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S.
APPLICATION CLAIMED
(37 C.F.R. § 1.78)**

14. Relate Back

The specification has been amended by inserting the reference to the parent application.

15. Maintenance of Copendency of Prior Application

A. n/a

16. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

(a) This application discloses and claims additional disclosure by amendment and a new declaration or oath will be filed. With respect to the prior application, the inventors in this application are the same.

17. Small Entity (37 C.F.R. § 1.28(a))

Applicant has established small entity status by the filing of a statement in parent application 10/145,420 on May 14, 2003. Applicant continues to claim small entity status.

Date: 11-14-03

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